

NLI FIRST MUTUAL FUND

STATEMENT OF FINANCIAL POSITION

As at September 30, 2014

Particulars	30-Sep-14	30-Jun-14
	Taka	Taka

ASSETS

Non-Current Assets :

Preliminary and issue expenses	5,963,549	6,166,300
	5,963,549	6,166,300

Current Assets :

Investments - At market price	391,218,105	389,335,158
Accounts receivables	3,342,626	3,019,298
Advances, deposits and prepayments	856,250	1,062,530
Cash and cash equivalents	63,203,637	21,405,349
Fixed income instruments	162,125,000	221,674,375
	620,745,618	636,496,710
Total Assets	626,709,167	642,663,010

EQUITY AND LIABILITIES

Shareholders' Equity

Paid up capital	503,338,000	503,338,000
Unit premium	10,798,888	10,798,888
Unrealized gain	20,230,783	11,745,552
Retained earnings	77,330,902	110,822,527
	611,698,573	636,704,967

Current Liabilities

Accounts payable	15,010,594	5,958,043
	15,010,594	5,958,043
Total Equity and Liabilities	626,709,167	642,663,010

Net asset value (NAV)	611,698,573	636,704,967
Net asset value (NAV) per unit:		
At cost	11.75	12.42
At market price	12.15	12.65

NLI FIRST MUTUAL FUND

STATEMENT OF COMPREHENSIVE INCOME For the Period from July 01, 2014 to September 30, 2014

Particulars	July 01, 2014 to September 30, 2014	July 01, 2013 to September 30, 2013
	Taka	Taka
INCOME		
Interest income	7,118,833	9,430,582.00
Net income on sale of marketable securities	13,145,919	4,506,606
Dividend income	8,690,047	60,000
Interest/Coupon income from bond	1,562,499	1,575,515
TOTAL	30,517,298	15,572,703
EXPENSES		
Management fees	2,609,456	2,459,247
Amortization of preliminary and issue expenses	202,752	206,667
Amortization of DSE & CSE annual listing fees	40,329	34,915
Amortisation of CDBL expenses	26,718	35,079
Amortization of BSEC fees	126,869	116,937
CDBL settlement and demat charges	26,886	18,109
Trustee fees	126,869	116,937
Custodian fees	44,654	34,820
Brokerage commission	311,577	376,176
Audit fees	7,562	7,562
Bank charges & excise duty	46,442	46,168
Other expenses	38,250	40,485
TOTAL	3,608,363	3,493,102
Net profit for the period	26,908,935	12,079,601
Earnings per unit	0.53	0.24

NLI FIRST MUTUAL FUND

STATEMENT OF CHANGES IN EQUITY

For the Period from July 01, 2014 to September 30, 2014

Figure in Taka

Particulars	Share Capital	Unit Premium	Unrealized Gain / (Loss)	Retained Earnings	Total Equity
Balance as at June 30, 2014	503,338,000	10,798,888	11,745,553	110,822,527	636,704,968
Cash dividend for the year 2013-2014	-	-	-	(60,400,560)	(60,400,560)
Unit premium	-	-	-	-	-
Net profit during the period	-	-	-	26,908,935	26,908,935
Unrealized gain / (loss)	-	-	8,485,230	-	8,485,230
Balance at September 30, 2014	503,338,000	10,798,888	20,230,783	77,330,902	611,698,573

For the Period from July 01, 2013 to September 30, 2013

Figure in Taka

Particulars	Share Capital	Unit Premium	Unrealized Gain / (Loss)	Retained Earnings	Total Equity
Balance as at June 30, 2013	457,580,000	-	698,312	96,484,634	554,762,946
Stock dividend for the year 2012-2013	45,758,000	-	-	(45,758,000)	-
Unit premium	-	10,798,888	-	(10,798,888)	-
Net profit during the period	-	-	-	12,079,601	12,079,601
Unrealized gain / (loss)	-	-	(242,204)	-	(242,204)
Balance at September 30, 2013	503,338,000	10,798,888	456,108	52,007,347	566,600,343

NLI FIRST MUTUAL FUND

[As per the Schedule no. V (see Rule 56) of Securities and Exchange Commission (Mutual Fund) Regulations, 2001]
As on September 30, 2014

Industries	Bond / Share / Security	Total Quantity	Average Unit Cost (At Par) BDT	Total Cost (Purchase Price) BDT	Market Price (At Par) BDT	Total Value (Market Price) BDT	Unrealised Gain / (Loss)	% of Assets of all the Schemes under a Fund Invested in			% of Paid-up Capital of a Particular Company Owned by the Fund (Limit 15%)
								Any Particular Company's Shares (Limit 10%)	Shares, Debentures or Other Securities of a Single Company or Group (Limit 20%)	Shares, Debentures or Other Securities in a Particular Industry (Limit 25%)	
CORPORATE BOND	ACIZBOND	38,725	843.5128	32,665,035.00	1,020.00	39,499,500.00	6,834,465.00	6.4740	6.47	11.62	14.49
	BRACSBOND	385	1,075.7143	414,150.00	1,065.00	410,025.00	(4,125.00)	0.0672	9.70		0.01
	IBBLPBOND	30,955	990.3062	30,634,928.15	1,000.50	30,970,477.50	315,549.35	5.0760	9.14		1.03
BANK	ISLAMI BANK	995,777	25.4227	25,315,320.99	24.90	24,794,847.30	(520,473.69)	4.0639	9.14		0.07
	BRAC BANK	1,825,759	24.6435	44,993,112.57	32.20	58,789,439.80	13,796,327.23	9.6356	9.70	17.77	0.41
	EBL	31,800	28.1226	894,300.00	29.30	931,740.00	37,440.00	0.1527	0.15		0.01
	SOUTHEASTB	994,500	18.7728	18,669,500.00	19.00	18,895,500.00	226,000.00	3.0970	3.10		0.11
	CITYBANK	261,650	15.8764	4,154,070.00	19.10	4,997,515.00	843,445.00	0.8191	0.82		0.03
FINANCIAL INSTITUTION	IDLC	73,400	43.1128	3,164,480.00	74.90	5,497,660.00	2,333,180.00	0.9011	0.90	0.90	0.04
PHARMA & CHEMICAL	ACI	4	113.5501	454.20	478.60	1,914.40	1,460.20	0.0003	6.47	0.00	0.00
FUEL & POWER	SUMITPOWER	76,400	33.0000	2,521,200.00	41.10	3,140,040.00	618,840.00	0.5147	0.51		0.01
	TITAS	654,500	77.3228	50,607,800.00	88.60	57,988,700.00	7,380,900.00	9.5043	8.29	10.02	0.07
TANNERY INDUSTRIES	BATASHOE	3,200	963.9156	3,084,530.00	1,120.50	3,585,600.00	501,070.00	0.5877	0.59	0.59	0.02
MUTUAL FUND	SEBESTMF	7,241,000	8.3792	60,674,029.31	7.10	51,411,100.00	(9,262,929.31)	8.4265	8.43		7.26
	GREENDELMF	200,000	5.9000	1,180,000.00	4.50	900,000.00	(280,000.00)	0.1475	0.15		0.13
	PHPMFI	660,607	5.1839	3,424,526.33	4.70	3,104,852.90	(319,673.43)	0.5089	0.51		0.33
	POPULARIMF	2,187,149	5.8354	12,762,884.51	5.10	11,154,459.90	(1,608,424.61)	1.8282	1.83	13.56	1.07
	IJANATAMF	916,261	5.7287	5,248,950.00	5.30	4,856,183.30	(392,766.70)	0.7959	0.80		0.46
	MBL1STMF	279,500	5.3284	1,489,300.00	4.40	1,229,800.00	(259,500.00)	0.2016	0.20		0.28
INITIAL PUBLIC OFFERING (IPO)	DEHIME	12,500	5.5000	68,750.00	4.70	58,750.00	(10,000.00)	0.0096	0.01		0.01
	RUPALI LIFE FIRST MF	1,000,000	10.0000	10,000,000.00	10.00	10,000,000.00	-	1.6390	1.64		1.00
	KHAN BROTHERS PP WOVEN BA	5,900,000	10.0000	59,000,000.00	10.00	59,000,000.00	-	9.6701	9.67	9.67	-
TOTAL		23,384,072		370,987,321.06		391,218,105.10	20,230,784.04		64.12		